# **REVIEW ARTICLE**



# Three-Dimensional Printing Technologies for Drug Delivery Applications: Processes, Materials, and Effects

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Abstract: Since the 1930s, new methods of drug delivery, such as implantable devices with drug release control, have been developed. However, manufacturing techniques require bulk due to high initial production costs. Three-dimensional (3D) printing, also known as additive manufacturing or rapid prototyping, allows the fabrication of personalized drug delivery that uses different materials and complex geometries with multiple release profiles, thereby eradicating high initial costs. Different studies have been developed showing the extensive potential of 3D printing for the pharmaceutical industry, and despite in-depth discussions that have been published, there is no comprehensive review of processes, materials, and effects in drug delivery applications thus far. This review aims to fill this gap by presenting the use of 3D printing technology for drug delivery, exposing the different variations of the technique according to the characteristics, material, and dosage form sought. There are seven main categories of 3D printing according to the standards jointly developed by International Organization for Standardization and American Society for Testing and Materials: material jetting, binder jetting, material extrusion, vat photopolymerization, powder bed fusion, sheet lamination, and directed energy deposition. There are different 3D fabrication processes used for drug delivery applications depending on the dosage form and material applied. In this context, polymers, glasses, and hydrogels represent the most frequent materials used. 3D printing allows different forms of drug dosage. Oral, topical, rectal and vaginal, parental and implantable are discussed in this paper, presenting the identification of the type of 3D printing technology, the active pharmaceutical ingredient, formulation, and pharmaceutical effect. The main aim of this paper is to offer insights to people from academy and industry who are interested in the advancement of drug delivery and in knowing the future directions in the development of 3D printing applications in this area.

Keywords: Three-dimensional printing; Drug delivery; Pharmaceutical applications; Additive manufacturing

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# 1. Introduction

The introduction of conventional drug delivery devices (DDDs) in the form of solid oral dosage forms began in the early 18<sup>th</sup> century, whereby the active pharmaceutical ingredient (API) was swallowed into the gastrointestinal (GI) tract, dissolved and absorbed into the gut prior to circulating through the body in the blood stream<sup>[1]</sup>. By the late 1800s, formulations began to be designed to better suit a particular condition,

such as those with an enteric and hydrophilic polymer coating exhibiting resilience to the acidic conditions in the stomach, and produce delayed release (DR) of the API<sup>[2]</sup>. This widened the possible drugs that could be ingested orally, with APIs which otherwise degraded in the stomach acid now being able to be released into the GI tract instead<sup>[3]</sup>.

The concept of delivering drugs over a longer time span was introduced in the 1930s when Deansely and Parkes subcutaneously implanted pellets constructed

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from compressed powder into male rats, achieving the sustained release of a testosterone API over a 2-week period<sup>[4]</sup>.

Since this discovery, many new methods of drug administration have been formulated varying from implantable devices using permeable membranes to control the release of drug, to injectable microspheres. Despite this, the majority of DDD manufacturing techniques require bulk manufacturing of identical products due to high initial production costs<sup>[2]</sup>. As a result, traditional DDDs fit a "one-dose-fits-all" paradigm, and as such, between 4% and 25% of the ten top-grossing drugs in the U.S. were rendered unsuccessful in their intended treatment<sup>[5]</sup>, due to variances in the patients' age, weight, medical history, and environment, among others<sup>[6]</sup>. In addition, many manufacturing techniques, for example, injection molding which is commonly used to create implantable DDDs, often require the heating of the polymer and API to above the polymer's melting temperature, risking damages to the drug in the process<sup>[4]</sup>.

The introduction of additively manufacturing pharmaceuticals eradicates the high initial input costs seen in traditional manufacturing techniques, opening the scope for DDDs with drug doses tailored for each individual patient. In addition, the creation of parts with multiple materials and highly complex geometries vastly widens the design scope of each device type to create drug delivery systems with multiple release profiles<sup>[2]</sup>. While the 3D printed drug Spritam gained U.S. Food and Drug Administration (FDA) approval in 2016, its potential is still largely unchartered<sup>[7]</sup>. The following sections in this paper detail the potential uses for 3D printing in a range of pharmaceutical applications and its current limitations.

The origins of 3D printing can be split into the two sub-fields of photo sculpture and topography:

# 1.1. Photo sculpture

In the 1800s, the process of using multiple photographs from differing angles of a 3D object was introduced. These early technologies required the artist to carve the photographed silhouettes of each object or person from each angle to create a completed 3D sculpture<sup>[3]</sup>. In the 1900s, Carlo Baese patented a simplified technique, implementing light to a photo-sensitive gelatine to create a replica of the original model<sup>[8]</sup>.

# 1.2. Topography

The concept of combining multiple layers with differing geometries was suggested by Blanther in the 1890s, who layered wax sections on top of one another and smoothed them together to make a 3D structure<sup>[8]</sup>. Numerous variations of this concept ensued, such as the

use of layered cardboard contours and the photo curing of photo-polymer resins onto powder particles<sup>[2]</sup>.

The first technique for 3D printing was developed in 1951 by Otto John Munz, who detailed a method of producing 3D objects through the use of surface maps (topoglyphs) and curing the dimensions each of these maps into incremental layers of a vat of clear, photocurable polymer resin<sup>[8]</sup>. Since the success of this initial 3D printing technology, now widely known as vat polymerization, many other methods of building up a model in a layer-by-layer approach have been developed<sup>[7]</sup>.

# 2. 3D printing technologies

According to the standards jointly developed by International Organization for Standardization and American Society for Standards, 3D printing technology, also known in a technical context as additive manufacturing or rapid prototyping, is divided into seven categories: material jetting, binder jetting, material extrusion, vat photopolymerization, powder bed fusion (PBF), sheet lamination, and directed energy deposition<sup>[9]</sup>. The processes that have been investigated for use in drug delivery applications are shown in **Figure 1** and are detailed in this section. Printing techniques, printing characteristics, and applicable materials are discussed with the aim of helping distinguish the applicability of each process to the various DDDs and studies detailed in section 4.

# 2.1. Inkjet printing

Originating from the initial concept of inkjet printing detailed by Lord Raleigh in 1878, traditional twodimensional inkjet printing to produce documents and photographs was introduced by Siemens in 1951<sup>[7]</sup>. The deposition of droplets on top of one another to build a 3D part was later developed in the 1980s. Inkjet printing can be split into two classifications: material jetting and binder jetting<sup>[7]</sup>.

# (1) Material jetting (MJ)

MJ can be defined as the process in which droplets of build material are selectively deposited onto a substrate<sup>[9]</sup> and can be split into two main techniques: drop on demand (DoD) and continuous inkjet (CIJ) (**Figure 2**)<sup>[7,10]</sup>.

DoD technique includes the use of either a vapor bubble or piezoelectric crystal which are subject to an increase in heat or voltage, respectively, to enlarge and force the ink from the nozzle, following which the input force is removed, allowing the nozzle to refill. In contrast, CIJ technique charge droplets upon ejection, following which deflector plates deflect them either onto the substrate or away as waste to be recirculated (**Table 1**)<sup>[7,10]</sup>.



Figure 1. Three dimentional printing technologies for drug delivery applications.



Figure 2. (A) Drop on demand (DoD); (B) continuous inkjet (CIJ).

#### (2) Binder jetting

Binder jetting (BJ) uses the DoD approach as shown in **Figure 1A** to selectively deposit the liquid bonding agent to join powder materials located upon the print bed beneath<sup>[9]</sup>. This inkjet printing process allows for the deposition of inks made primarily of solvent binders with low viscosities, with the bulk of the material typically presented in the spherical powder particles situated on the print bed, widening the scope of potential materials (**Table 2**)<sup>[10,11,16]</sup>.

#### Table 1. Characteristics and challenges of MJ

	Characteristics	Challenges
Method	Droplets of ink are deposited from the nozzle into thin layers,	In some cases, MJ needs support structure <sup>[14]</sup> .
	then cured with cooling air and in the presence of high-energy	It is necessary to perform a post-processing for
	light, such as ultraviolet $(UV)^{[10]}$ .	removing the support structure <sup>[15]</sup> .
	The droplet flight path, droplet impact, and surface wetting can	
	change the geometry of the product <sup>[11]</sup> .	
	MJ can tune the drug composition during the printing process <sup>[12]</sup> .	
	MJ can work with thermal or piezoelectric nozzle <sup>[13]</sup> .	
Material	MJ works with melted polymers and waxes, UV curable resins,	The viscosity can affect the optimization
	solutions, suspensions, and complex multicomponent fluids <sup>[11]</sup> .	requirement <sup>[12]</sup> .
	MJ used waxes as the first materials <sup>[10]</sup> .	The materials are photosensitive (e.g., sensitive
	This process can use photosensitive polymers but requires	to daylight), and the mechanical properties
	further processing to remove the photoinitiator <sup>[10]</sup> .	degrade over time <sup>[14]</sup> .
	This process can use multimaterials and full color <sup>[10]</sup> .	
Quality	The quality and the curing method depend on material	The final product has poor mechanical
	properties <sup>[10]</sup> .	properties <sup>[14]</sup> .
	The final product has homogeneous mechanical and thermal	
	properties <sup>[14]</sup> .	

MJ: Material jetting, UV: Ultraviolet

Table 2	. Characteristics	and challenges	of binder jetting (B	J)
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	Characteristics	Challenges
Method	Droplets of liquid binder bind the particles of the powder bed layer <sup>[10-12]</sup> . The drug can be found in the ink or in the powder bed <sup>[10]</sup> . BJ is a simple, versatile, low-cost and high-speed process that provides the personalized drug delivery system as well as customizing the composition and properties of drug-eluting implants <sup>[11,16]</sup> .	Pre-processing of the powdered materials is necessary to ensure proper distribution of the particle size and flow capacity of the powder for uniform filling of the power bed <sup>[16]</sup> . Clogging of the print head can occur during printing of non-homogeneous binder solutions <sup>[11,16]</sup> . The porosity of products is poorly controllable <sup>[16]</sup> .
	The physicochemical properties of the dosage forms, and the drug-release profiles could be adjusted using different polymers and/or ratios between the bulk material, API (s), binding agents, and other excipients <sup>[16]</sup> .	One risk in the process is the inconsistency or less homogeneity between the layers, which can be controlled by mediating the temperature and drying time between layers or jetting rate <sup>[11,16]</sup> .
Material	The process works with ambient temperature that is suitable for thermolabile drugs and excipients <sup>[16]</sup> .	The availability of suitable non-toxic solvents to increase the capacity of the binder solution is limited <sup>[16]</sup> .
Quality	BJ has lower printing resolution and poorer surface quality that can result in imprecise printing and low dimensional tolerance, compared to other AM processes <sup>[11,16]</sup> .	This could be improved by the additional post-processing <sup>[16]</sup> .

## 2.2. Material extrusion

Arguably the most recognized process of 3D printing, extrusion-based technologies can be defined as the process in which material is selectively dispensed through a nozzle or orifice<sup>[9]</sup>. Extrusion-based printing can be split into three key categories: hot melt extrusion (HME), filament extrusion, and syringe extrusion. In all three techniques, the material undergoes a change in physical state between ejection from the nozzle and solidification upon the substrate either by cooling or solvent evaporation, with printing processes (**Figure 3**)<sup>[10,12]</sup>.

# (1) HME

HME ejects semi-molten material from the nozzle tip; however, it additionally incorporates heated screws, which melt, mix and eject the polymer from the nozzle<sup>[16]</sup>. This technique is regularly used for gels and pastes containing APIs at room or elevated temperatures, allowing for solid dispersions to be printed<sup>[7]</sup>. Where filament extrusion requires impregnation of the filament with an API prior to printing, HME offers the addition of the API in the melting stage, whereby it either melts alongside the polymer, dissolves within it, or disperses across the polymer mix<sup>[17]</sup>.

#### (2) Filament extrusion

Filament extrusion is the technique of using rollers to feed a solid polymer filament through a chamber with heating elements which melt the polymer filament into a semimolten state, following which it is ejected from the end of a nozzle or orifice. Following ejection, the polymer cools and solidifies on the substrate, allowing further layers to be deposited on top (**Table 3**)<sup>[10,16]</sup>.

## (3) Syringe extrusion

Syringe extrusion uses a plunger-type system to push semi-molten materials, such as gels and pastes, through

the print nozzle, following which they are dried<sup>[10]</sup>. Pressure-assisted microsyringes (PAM) are capable of producing DDDs with a combination of materials of drugs using multi-head extruders (**Table 4**)<sup>[16]</sup>.

# 2.3. Vat photopolymerization

Vat photopolymerization can be defined as the process in which liquid photopolymer in a vat is selectively cured by light-activated polymerization<sup>[9]</sup> (**Figure 4**). It comes in four main forms: stereolithography (SLA), 2-photon polymerization (2PP), digital light processing (DLP), and continuous liquid interface production (CLIP)<sup>[10,12,16]</sup>.



Figure 3. (A) Hot melt extrusion; (B) filament extrusion; (C) syringe extrusion.

	Characteristics	Challenges
Method	The filament guided by gears is moved, then it is melted and pushed forward through the nozzle orifice <sup>[10]</sup> .	For complex geometries, it requires printing support structures, which must
	HME is the main method for creating good quality filaments containing APIs <sup>[10]</sup> .	be removed during post-processing <sup>[16]</sup> . The preparation of the filament is tedious
	Another method of filament preparation is the incorporation of model	because the quality of the final piece
	drugs by filament swelling in volatile solvent solution of API and drying <sup>[10,12,16]</sup> .	depends on this <sup>[10,12]</sup> .
Material	The filaments are made of thermoplastic polymers, such as	Thermoplastic polymers are only used
	acrylonitrile butadiene styrene (ABS), poly lactic acid (PLA),	due to the heating step <sup>[12,16]</sup> .
	high-impact polystyrene (HIPS), and nylon <sup>[10]</sup> .	Filament extrusion process is not suitable
	This process can produce drug delivery systems with multiple APIs <sup>[16]</sup> .	for the thermolabile APIs <sup>[12,16]</sup> .
Quality	The diameter of the nozzle orifice has an impact on the resolution <sup>A</sup> .	The rheology of raw materials can produce
	The filament has a big impact in the quality by its attributes, such as constant	inconsistent extrusion patterns <sup>[10,11]</sup> .
	dimension, elasticity stiffness, and homogenous drug distribution <sup>[10]</sup> .	
	As mentioned previously, the resolution depends on different factors.	
	For example, Stratasys Company (US) has the Fortus Printer that	
	works with a layer thickness of 178 or 254 $\mu$ m, which can achieve a	
	resolution of 250 $\mu$ <sup>[18]</sup>	

	Characteristics	Challenges
Method	This process prints semisolid or semi-molten materials,	The extrusion forces depend on the viscosity of
	such as gels and pastes, through orifice by syringe plunger <sup>[10]</sup> .	the material <sup>[16]</sup> .
	After printing, the drying step is required <sup>[10,16]</sup> .	
	HME is the main method for creating good quality gels or	
	pastes containing APIs at room or elevated temperature <sup>[10]</sup> .	
Material	Polymers with crosslinking mechanism or shear thinning	APIs are required to be uniformly dispersed in
	properties are preferred <sup>[16]</sup> .	the printing material <sup>[16]</sup> .
	Syringe extrusion is the main printing technique for many	
	biocompatible materials <sup>[16]</sup> .	
Quality	The nozzle size of the syringe defines the print resolution <sup>[16]</sup> .	The rheology of raw materials can produce
	To improve the properties, a post-treatment can be used, such	inconsistent extrusion patterns <sup>[10,11,16]</sup> .
	as crosslinking <sup>[16]</sup> .	The mechanical strength and durability are low <sup>[16]</sup> .



Figure 4. Vat photopolymerization.

## (1) Stereolithography

Stereolithography (SLA) uses a vat of UV-cross linkable polymer resin paired with a UV light source which scans along the X and Y axes of the surface of the resin in a defined geometry<sup>[10]</sup>. A single layer of resin is cross-linked, and the build plate lowered a specified layer thickness between each curing layer to allow for the next layer to be cured on top (**Table 5**)<sup>[12,16]</sup>.

# (2) 2PP

2PP follows a similar technique. 2PP is a non-linear near infrared (NIR) light process in which two photons are simultaneously absorbed with short laser pulses in a photosensitive material. 2PP, along with DLP and CLIP in sections 2.3.3 and 2.3.4, may allow for the pre-loading of APIs directly into the liquid prepolymer solution, but may suffer from a loss of drug loaded and precision on printing (**Table 6**)<sup>[12]</sup>.

# (3) DLP

DLP projects UV light onto a digital micro-mirror device (DMD) which projects the light waves onto the top or

bottom surface of the vat resin<sup>[12]</sup>. The use of the DMD means that the UV light can cure larger areas of resin per unit time than that seen in traditional SLA, while maintaining its high dimensional accuracy (**Table 7**)<sup>[12,14]</sup>.

# (4) Continuous liquid interface production

Similarly, to DLP, continuous liquid interface production (CLIP) utilizes a DMD to project digital light into the polymer vat through an  $O_2$  window, which inhibits the cross-linking of the layer of resin closest to the window, called the dead-zone, allowing the solidified resin not to adhere to the window<sup>[12]</sup>.

# 2.4. PBF

PBF is defined as the process in which thermal energy selectively fuses regions of a powder bed<sup>[9]</sup>, (**Figure 5**). Similarly, to the binder jetting processes detailed in section 2.1.2, once a print layer is completed, the print bed is lowered by a specified layer thickness, another layer of powder deposited and spread through a roller, and the next layer fused to the previous. PBF comes in two main forms: selective laser sintering (SLS) and selective laser melting (SLM)<sup>[10,16]</sup>.

# (1) Selective laser sintering

Selective laser sintering (SLS) technique uses a focused layer to selectively scan polymer powder material slightly below its melting temperature, while selective laser melting (SLM) uses a laser, which fully melts the powder, fusing it to the layer below<sup>[10,14]</sup>. As SLS is mainly used for polymers, it has a wide range of applications for DDDs purposes; conversely, since SLM is mainly used for metals, it is not applied for DDDs. These similar techniques have comparable properties with respect to quality and macroscale resolution; however, SLS techniques are capable of producing parts with lower layer thicknesses and higher flexibility (**Table 8**)<sup>[10,16]</sup>. Table 5. Characteristics and challenges of stereolithography (SLA)

	Characteristics	Challenges
Method	The resin vat contains a build platform. A single point laser located inside the machine maps selectively a light solidifying the liquid material <sup>[10,16]</sup> . Usually, the products are post-cured by UV light to improve their mechanical properties <sup>[16]</sup> . Inverted SLA uses the light under transparent bottom of a resin tank <sup>[10]</sup> . SLA can print porous infill, such as QuickCast, from 3D systems. To modify the porosity of some tablets, it is necessary to change their geometry, because the objects that are printed with this method have a solid filling <sup>[10]</sup> .	Post-processing with UV-curing could be unsuitable for the APIs <sup>[16]</sup> . The instruments are expensive <sup>[16]</sup> . The post-curing steps for render biocompatible material can result in a loss of drug loaded and subsequent imprecise dosing <sup>[12]</sup> . The residual resin can represent a toxicity risk <sup>[11]</sup> .
Material	SLA uses photoreactive and photocurable materials <sup>[12]</sup> . The drugs can be loaded directly into the liquid pre-polymer solution depending on its solubility <sup>[12]</sup> .	SLA has been limited by photopolymerizable materials that would be biocompatible and approved for human use <sup>[16]</sup> . SLA that works with multiples materials, such as polymer mixtures and drug loaded structures, is limited <sup>[16]</sup> .
Quality	High accuracy and resolution that allows fabrication of personalized organic shapes for controlling drugs release kinetics <sup>[12,16]</sup> . An example is ProX/Project printer made by 3D Systems Company (US). This printer has a layer thickness of $20 - 150 \ \mu m$ and has a resolution of 50 $\mu m^{[18]}$ .	

Table 6. Characteristics and challenges of 2-photon polymerization (2PP)

	Characteristics	Challenges
Method	The lasers provide femtosecond pulses, which induce a highly localized chemical reaction, leading to polymerization of the photosensitive material <sup>[19]</sup> . 2PP requires multiple post-curing steps to make it biocompatible <sup>[12]</sup> .	The post-curing steps may result in a loss of drug loaded and imprecise dosing <sup>[12]</sup> .
	This method can print highly personalized organic shapes, which are critical for implants and transdermal delivery for controlling drug release kinetics <sup>[12]</sup> .	
Material	The medicine can be loaded directly into the liquid prepolymer solution, depending on its solubility <sup>[12]</sup> . 2PP uses biocompatible photoinitiations or monomers <sup>[12]</sup> .	This process uses only photoreactive and photocurable materials <sup>[12]</sup> . 2PP is not suitable for medications with antioxidant properties, such as Vitamins A, C and E, due to potential residual free radicals or monomers <sup>[12]</sup> .
Quality	2PP has a resolution in the nanometer range, and it is the vat photopolymerization process with the best resolution <sup>[12]</sup> . Nanoscribe (Germany) created the Photonic Professional GT printer, which works with a layer thickness of $<1 \mu$ m and has a resolution of 0.15 $\mu$ m <sup>[18]</sup> .	

Previous tables have shown main characteristics of material jetting (MJ), binder jetting (BJ), filament extrusion, syringe extrusion, stereolithography (SLA), 2PP, DLP, and selective laser sintering (SLS), including advantages and disadvantages of methods as well as materials and product quality of each technique. In the next section, specific materials for 3D printing drug delivery will be discussed.

Table 7	7. C	haracteristics	and	challenges	of	digital	light	processing	(DLP	)
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	Characteristics	Challenges
Method	DLP uses UV light and micro-mirror device for projected digital light into the vat of photopolymer <sup>[20]</sup> .	The post-curing steps may result in a loss of drug loaded and imprecise
	The projector system can be bottom-up or top-bottom <sup>[21]</sup> .	dosing <sup>[12]</sup> .
	This process is faster in printing time and more efficient as well as allows operating at a wider range of wavelengths compared to SL $A^{[22]}$	The residual resin can represent a toxicity risk <sup>[11]</sup>
	DLP can work with customized resin reservoirs and small volumes of photoreactive polymers <sup>[22]</sup> .	toxicity lisk
Material	DLP uses photoreactive and photocurable materials <sup>[12]</sup> . The medicine can be loaded directly into the liquid prepolymer solution, depending on its solubility <sup>[12]</sup> . DLP uses standard and castable resins <sup>[14]</sup> .	This process only works with photoreactive and photocurable materials <sup>[12]</sup> .
Quality	Like the others processes of vat photopolymerization, DLP prints with high resolution <sup>[21]</sup> . Carbon 3D (US) has several Carbon Digital Light Synthesis printers and the resolution are 25, 50 or 100 µm <sup>[18,23]</sup> .	

#### Table 8. Characteristics and challenges of selective laser sintering (SLS)

	Characteristics	Challenges
Method	The powder bed is controlled by a leveling system that moves in from one part to another. Then, a focused laser beam scans selectively, which heats the powder just below the melting temperature <sup>[10,16]</sup> .	The printing speed of SLS is limited to $1-5$ cm/h approximately, which impacts the time of production <sup>[16]</sup> .
	Only a few researchers work with this process in pharmaceutical field <sup>[16]</sup> .	SLS produces significant powder waste after printing process <sup>[16]</sup> .
	The printing product does not need supporting structures because the unsintered powder provides all the necessary support <sup>[14]</sup> .	
Material	Drug delivery device worked with nylon, polyamide, PLLA, and PCL as the polymeric base material for customized porosity and microstructures <sup>[16]</sup> .	SLS works with high levels of energy; therefore, there are few pharmaceutical excipients and API that can resist these temperatures <sup>[10,16]</sup> .
Quality	The porosity of the drug delivery device could be controlled by varying the powder bed temperature, the length between the dense walls and the SLS laser power <sup>[16]</sup> . The sPro EOS <i>P</i> 396 printer from 3D Systems (US) and EOS (Germany), has a layer thickness of 100 $\mu$ m and can achieve a resolution of 500 $\mu$ m <sup>[18]</sup> .	On the final structure, a post-treatment is required due to non-sintered powder residue <sup>[11,16]</sup> .



Figure 5. Powder bed fusion.

# **3.** Materials for **3D** printing in pharmaceutical manufacturing

There are a wide range of polymeric, glass, and hydrogel materials which have been explored to act as drugeluting devices, many of which exhibit biodegradable characteristics that allow for single administration into the body<sup>[11]</sup>.

## 3.1. Polymers

Polymers can be divided into those which are biodegradable and those which are not. Biodegradable

polymers degrade into the body over a specified time period by either surface erosion, whereby the material degrades at the outermost surface of the polymer via hydrolysis, or bulk erosion, whereby the polymer degrades evenly throughout the entire polymer bulk. In contrast, non-biodegradable polymers retain their structural and chemical integrity throughout the intended life cycle. Examples of biodegradable polymers used in DDDs include poly(caprolactone), poly (trimethylene carbonate), poly(lactide), poly (vinyl alcohol), and triethyl citrate (TEC), among others. Non-biodegradable polymers include poly (ethylene glycol) and ethylene vinyl acetate (EVA). Each polymer exhibits a particular degradation rate, and therefore drug release profile, with an alteration to the polymers molecular weight throughout the synthesis process able to tailor this further to suit a particular printing technology (e.g., material jetting which requires low-viscosity polymer inks, or extrusion-based methods which require more paste-like consistencies) or intended treatment dosage or administration time period<sup>[16,17]</sup>.

Polymers are quite attractive for 3D printed drug delivery due to their distinctive capabilities for drug loading, drug release, biocompatibility, and biodegradability. In particular, smart polymers have attracted attention of the industry, as they are able to deliver the drug at specific moments and places as a response to physiological stimuli. Their main advantages lie in their versatility and tunable sensitivity while their main drawback is their slow response time. Despite this disadvantage, they have a huge potential to deliver oral drugs sensitive to both gastric acid and enteric enzymes as well as to make smart diagnostics<sup>[24]</sup>. Polymers can be applied to both hydrophilic and hydrophobic drugs, which allow drug-controlled release in constant doses even over long periods<sup>[25]</sup>. There are different types of polymers. One of the most common polymers is poly (vinyl alcohol), also designated as PVAL, which has good solubility in water but not in ethanol nor in various organic diluents. PVAL can be used to produce polymeric multiple-layered material for 3D printing through IP technique, and by varying the molecular weight of PVAL, it is possible to generate specific viscosity rates in combination with 3D models<sup>[26]</sup>.

#### 3.2. Glasses

Glasses have shown potential in pharmaceutical applications, with their potential bioactivity allowing for interactions with living cells. Similar to polymeric materials, glasses can be biodegradable or nonbiodegradable, more or less brittle, and can be tailored to exhibit customizable degradation rates. As an example, mesoporous bioactive glass (Sr-MBG) containing strontium has shown sustained drug release due to its mesoporous structure, along with good bone-forming bioactivity and enhanced mechanical strength in comparison to polyure thane foams previously used<sup>[27]</sup>. For drug delivery purposes, bioceramic carriers are increasing its popularity. In fact, they have been considered a good replacement for polymers, particularly for bone local drug applications and tissue regeneration. Bioceramic materials for drug delivery include tricalcium phosphate, hydroxyapatite, and bioactive glass, among others. They exhibit unique characteristics; for example, bioactive glass is bioactive, osteoconductive and osteoinductive, and has a good degradation rate<sup>[6,7,28]</sup>. Moreover, due to the unique characteristics of mesoporous bioactive glass, such as large surface area, nanopore volume and nanochannel structure, it is frequently used for drug delivery as powders, fibers, disks, microspheres, MBG-polymer composites, and 3D scaffolds<sup>[29]</sup>.

## 3.3. Hydrogels

Hydrogels consist of water-soluble polymers that are cross-linked in a 3D network<sup>[10,30]</sup>. The potential to create a hydrogel out of any water-soluble polymer results in them being considered an attractive alternative to polymeric materials in drug delivery applications as they encompass a wide range of chemical compositions and, as a result, physical properties. These physical properties can be tailored in terms of porosity and material swelling, which. in turn, allows the opportunity to control drug diffusion out of the polymer matrix. Some examples of hydrogels used in drug delivery include alginates, fibrins, gelatine, and polyacrylamide<sup>[30]</sup>. Of these, one of the most cost-effective biomaterials is gelatin methacrylamide (GelMA)<sup>[26]</sup>. In fact, gelatines have particular attributes for drug delivery applications, which include higher drug encapsulation efficiency, stable carrier and drug complexation, fewer side effects, lower systemic cytotoxicity, reduced immunogenicity, and prolonged circulatory time[31].

# 4. 3D printing in pharmaceutical manufacturing

The potential of parts with high geometric complexity, precise dimensional accuracy, and multi-material capabilities exhibited by various 3D printing processes has seen a rapidly expanding surge of research over the past two decades, with oral, topical, rectal and vaginal, parenteral, and implantable DDDs among those reviewed to target a range of conditions. Some examples are shown in **Figure 6**.

#### 4.1. Oral drug dosage form

Oral DDDs (ODDDs) such as tablets and capsules are arguably the most widely accepted method of drug



Figure 6. Examples of drug delivery devices.

administration, regularly exhibiting near-immediate release profiles<sup>[32]</sup>.

Modern ODDDs can be designed to exhibit a range of release speeds and manufactured with multiple drugs. Despite this, traditional powder compaction methods largely restrict the design freedom and therefore hinder the therapeutic efficacy of the dosage form. In addition, the high initial investment costs for the compression mold and high input energy requires the production of large volumes of pills per cycle to reduce processing costs<sup>[12]</sup>. As such, tablet variance is not possible and results in all produced pills falling under the "one-dose-fits-all" paradigm. Other problems include the even dispersion of the API within the polymer excipient, and therefore in the pills, along with the restriction on producing pills with multiple drugs due to the potential of interactions between the differing drugs<sup>[32]</sup>.

Printing of ODDDs was first investigated into a 3D part in 1999, when Kastra et al. began to use binder jetting to tailor release mechanisms via the use of different binder inks. Binder inks containing either Eudragit® E-100 with ethanol or Eudragit® RLPO onto cellulose powder to produce tablets exhibiting either erosion or diffusion-based drug release. In addition, the ability to tailor the release profile by varying of quantity of polymer in the ink was demonstrated, with lower polymer concentrations exhibiting faster dissolution rates<sup>[33]</sup>. In their further studies, Rowe et al. utilized the pH dependency of excipients to control drug release in correlation to the ingested ODDDs location in the body, and achieved immediate release, DR, break-away devices capable of exhibiting two pulses of drug release through the incorporation of multiple material and drugs<sup>[34]</sup>.

Binder jetting has since been investigated to print a range of dissolution profiles, including those exhibiting zero-order release, fast-dissolving tablets, and extended release in addition to fast-disintegrating oral films, which led to the first 3D printed drug Spritam<sup>®</sup> that showed drug release within the therapeutic window within 9 min of administration being given approval by the U.S. FDA in 2016 for the treatment of epilepsy<sup>[35]</sup>.

BJ of ODDDs usually includes the drug in the polymer powder. Unlike BJ process, the API is situated in the injectable ink for MJ printing and solidified by either polymer cross-linking or solvent evaporation, so fewer studies have been conducted using this inkjet method. The first use of MJ in pharmaceutical printing was by Hsu *et al.* in 2015 who printed multi-layer tablets using naproxen (NAP)/polyethylene glycol (PEG) solid dispersions with various PEG barriers to control the release rate of the NAP, with higher dissolution rates being evident with the increasing PEG molecular weight<sup>[36]</sup>. Later studies investigated the effect of geometry on drug release, and Kyobula *et al.* detailed faster release rates with higher surface areas, with the highlighted limitation being the factor of wettability of the inner honeycomb structure of smaller cell sizes<sup>[37]</sup>.

Extrusion-based 3D printing techniques have also been explored to manufacture ODDDs with tuneable release profiles. Filament extrusion has been used to print a range of immediate, extended and modified release profiles through the use of polymers include poly (lactic acid) (PLA), poly (vinyl alcohol) (PVA), PEG, and its diacrylates (PEGDA). Although a range of biodegradable and biocompatible materials are able to extrude filament, the generally high molecular weights required to retain its form upon printing tend to correlate to slow degradation rates; to deal with this, a number of studies have been explored. Alhijjaj et al. performed an investigation into the blending of multiple polymers to widen the material base for extrusion-printing in pharmaceutics, and to control drug release rate through the polymer blend<sup>[38]</sup>. Arafat et al. incorporated "caplets" into the print, thereby achieving faster degradation rates due to an increase in fluid flow throughout the pill<sup>[39]</sup>, and Sadia et al. included perforating channels<sup>[40]</sup>, whereas Goyanes et al. created similar pores in the pill structure by reducing the % infill of the pill in the printing process while investigating the effect of external geometry on drug release, and concluded that an increase in surface area/ volume ratio corresponds to an increase in release rate<sup>[41]</sup>. Alternatively, Goyanes et al. investigated the filament extrusion printing of caplets to achieve a fast pulse of drug release upon the dissolution of the outer shell<sup>[42]</sup>. To achieve sustained release, filament extrusion has been shown to print tablets with hollow or lattice internal structures in order to keep the ingested pills within the stomach for a sustained period<sup>[43,44]</sup>. 3D printing technologies for oral drug dosage form are shown in Table 9.

Mancilla-De-la-Cruz, et al.

Table 9.3D	printing	technologies f	or oral dr	ug dosage form
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3D printing technology	API	Formulation	Effect	References
Tablet				
Material jetting	Naproxen	Polyethylene glycol (PEG)	Controlled release	[36]
, C	Fenofibrate	Beeswax, potassium phosphate	Controlled release	[37]
		monobasic, sodium phosphate dibasic, sodium lauryl sulfate		
	Thiamine	Polyvinyl pyrrolidone (PVP), polysorbate	Immediate release	[45]
	hydrochloride	20 (Tween 20), glycerol, deionized water		
	Ropinirole	Polyethylene glycol diacrylate (PEGDA),	Release	[46]
	hydrochloride	Irgacure 2959 photoinitator (BASF)	mechanism	
Binder jetting	Chlorphenamine	Eudragit E-100, Eudragit RLPO, lactose,	Multiple	[33]
	maleate, fluorescein	ethanol, acetone, polyvinyl pyrrolidone	mechanism	
	disodium salt	(PVP), Tween 20 in deionized water	Delayed release	
	Chlorpheniramine	Microcrystalline cellulose (MCC),	Multiple	[34]
	maleate, diclofenac	Eudragit E-100, Eudragit RLPO, Eudragit L100, ethanol, acetone	mechanism	
	Captopril	Polyvinyl pyrrolidone (PVP), maltitol,	Rapidly	[47]
		maltodextrin, water	dispersing tablet	
	Paracetamol, alizarin	Colloidal silicon dioxide (SiO2),	Fast-dissolving	[48]
	yellow	polyvinyl pyrrolidone (PVP) K30,	drug	
		mannitol, lactose		
	Levetiracetam	Microcrystalline cellulose (MCC),	Rapidly	[49]
		glycerin, Tween 80, povidone, sucralose	dispersing dosage form	
	Acetaminophen	Hydroxypropyl methylcellulose E100	Zero-order release	[50]
		(HPMC), ethyl cellulose (EC), polyvinyl	kinetics	
		pyrrolidone K30 (PVP), colloidal silicon dioxide		
	Acetaminophen	Hydroxypropyl methylcellulose E50	Zero-order release	[51]
		(HPMC), ethyl cellulose (EC), sodium	kinetics	
		lauryl sulfate, stearic acid, Eudragit		
		RS-100, fluorescein, polyvinyl pyrrolidone		
<b>D'1</b>	<b>5</b> 1 1' '	K30 (PVP), colloidal silicon dioxide		50.03
Filament extrusion	Felodipine	Polyethylene glycol (PEG), polysorbate	Controlled release	[38]
		(Tween 80), polyetnylene oxide, Eudragit		
	Theophylline	Hydroxypropyl collulogo (HDC), trigootin	Immodiate release	[20]
	Theophylline	Hydroxypropyl cellulose (HPC), thacetin,	Immediate release	[39]
		sodium crospovidone		
	Hydrochlorothiazide	Triethyl citrate (TEC) tri-Calcium	Design with	[40]
	Trydroemorotmazide	phosphate (TCP) Eudragit E	perforating	[40]
		phosphate (101), Luaragie L	channels of	
			increasing width	
	4-aminosalicvlic	Polyvinyl alcohol (PVA).	Modified release	[41]
	acid (4-ASA),	, ,		L · * J
	5-aminosalicylic			
	acid (5-ASA)			
	Dipyridamole	Hydroxypropyl methyl cellulose (HPMC),	Intragastric	[43]
		microcrystalline cellulose (MCC), lactose,	floating tablet,	
		polyvinyl pyrrolidone (PVP), ethanol	sustained release	

(Contd...)

3D printing technology	API	Formulation	Effect	References
	Domperidone	Hydroxy propyl cellulose (HPC), $BaSo_4$	Intragastric floating tablet	[44]
	Theophylline	Eudragit RL100, Eudragit RS100, hydroxypropyl cellulose (HPC), triethyl citrate (TEC), triacetin	Immediate and extended release	[52]
	Prednisolone	Polyvinyl alcohol (PVA), glycerol, acetonitrile, methanol	Extended release	[53]
	Hydrochlorothiazide	Polyvinyl alcohol (PVA), mannitol, polylactic acid (PLA)	Controlled release	[54]
	Nitrofurantoin	Polylactic acid (PLA), hydroxypropyl methylcellulose (HPMC)	Controlled release	[55]
	Nitrofurantoin	Hydroxyapatite, polylactic acid (PLA)	Controlled release	[56]
	Paracetamol	Hypromellose acetate succinate (HPMCAS): grades LG, MG and HG, methylparaben, magnesium stearate	Modified release	[57]
	Acetaminophen	Hydroxy propyl cellulose (HPC), hydroxypropyl methylcellulose (HPMC), ethyl cellulose (EC), Soluplus, Eudragit L100	Controlled release	[58]
	5-ASA, captopril, theophylline, prednisolone	Eudragit, triethyl citrate (TEC), tri-calcium phosphate (TCP), talc, microcrystalline cellulose (MMC)	Immediate release	[59]
	Glipizide	Polyvinyl alcohol (PVA)	Controlled release	[60]
	Cinnarizine	Hydroxypropyl cellulose (HPC), vinylpyrrolidone vinyl acetate copolymer (PVP VA 64)	Controlled release	[61]
	Haloperidol	Acid-base supersolubilization (ABS), Kollidon VA64, Affinisol 15cP	Slow release	[62]
	Isoniazid, rifampicin	Hydroxypropyl cellulose (HPC), hypromellose acetate succinate (HPMC – AS)	Controlled release	[63]
Syringe extrusion	Guaifenesin	Hydroxypropyl methylcellulose (HPMC), poly acrylic acid (PAA), microcrystalline cellulose (MCC), sodium starch glycolate	Bi-layers tablets for respiratory tract infections	[64]
	Nifedipine, captopril, glipizide	Hydroxypropyl methylcellulose (HPMC), microcrystalline cellulose (MCC), lactose, NaCl, polyethylene glycol 6000, tromethamine, D-mannitol, cellulose acetate	Multi-active (Polypill)	[65]
	Hydrochlorothiazide, aspirin, pravastatin, atenolol, ramipril	Polyethylene glycol (PEG) 600, D-mannitol, cellulose acetate, hydroxypropyl methylcellulose (HPMC), lactose, sodium starch glycolate, polyvinyl pyrrolidine	Multi-active (Polypill)	[66]
	Curcumin, chloramphenicol	Sodium alginate-cellulose nanofibers (SA- CNF)	Controlled release	[67]
Stereolithography	Paracetamol, 4-ASA	Polyethylene glycol (PEG), polyethylene glycol diacrylate (PEGDA)	Modified release	[68]

(Contd...)

Mancilla-De-la-Cruz, et al.

 Table 9. (Continued)

3D printing technology	API	Formulation	Effect	References
	Ibuprofen, riboflavin	Polyethylene glycol (PEG), polyethylene glycol diacrylate (PEGDA), triethanolamine (TEA), diphenyl 2,4,6-trimethylbenzoyl phosphine oxide (DPPO), water	Controlled release	[69]
Digital light processing	5-fluorouracil	Acrylic acid (AA), polyethylene glycol dimethacrylate (PEGDMA), acrylated hyperbranched polyester (AHBPE)	Controlled release	[70]
Selective laser sintering	Paracetamol	Hydroxypropyl methylcellulose (HPMC), vinylpyrrolidone vinyl acetate copolymer, candurin	Fast drug release	[71]
	Paracetamol	Kollicoat IR, Eudragit L100–55, candurin	Immediate/ modified release	[72]
Caplet				
Filament extrusion	Budesonide Paracetamol or caffeine	Polyvinyl alcohol (PVA) Polyvinyl alcohol (PVA)	Controlled release Drug release of PVA based caplets	[42] [73]
	Acetaminophen	Polyvinyl alcohol (PVA), polyvinyl alcohol-polyethylene glycol graft copolymer (KIR), glycerol, hydroxypropyl methylcellulose (HPMC), polyethylene glycol (PEG), hypromellose acetate succinate (HPMCAS)	Two-pulse oral drug delivery	[74]
Oral film				
Material jetting	Rasagiline mesylate	Propylene glycol (PG), hydroxypropyl methylcellulose (HPMC), crospovidone, glycerol, water	Fast disintegration and dissolution	[75]
	Loperamide or caffeine	Propylene glycol (PG), ethanol, water	Fast disintegration and dissolution	[76]
	Prednisolone	Ethanol, water, glycerol	Fast disintegration and dissolution	[77]
	Riboflavin sodium phosphate	Glycerol, water	Modified release	[78]
	Sodium picosulfate	Tesa, microcrystalline cellulose (MCC), hydroxypropyl methylcellulose (HPMC), gelatin, Listerine, hydroxypropyl methyl cellulose with 2% TiO <sub>2</sub> (HPMCT), gelatin with 2% TiO <sub>2</sub> , hydrophilic microcrystalline cellulose (pMCC)	Modified release	[79]
	Enalapril maleate	Macrogol 400, water, methanol	Modified release	[80]
Binder jetting	Levetiracetam	Undisclosed formula	Fast disintegration and dissolution	[81]
Filament extrusion	Aripiprazole	Polyvinyl alcohol (PVA)	Fast disintegration and dissolution	[82]
Syringe extrusion	Warfarin sodium	Polyvinyl alcohol (PVA), hydroxypropyl cellulose (HPC), ethanol, water	Modified release	[83]
	Saquinavir	Hydroxypropyl methylcellulose (HPMC), malic acid, glycerol, water	Controlled release	[84]

## 4.2. Topical dosage form

Topical delivery of drugs, also known as transdermal drug delivery, is the process of administering drugs on to the surface of the skin. Due to the high permeability of the skin, this often requires the assistance of a rate-controlling barrier layer with lower permeability to prevent over-dosing<sup>[16]</sup>. Transdermal DDDs may come in the form of patches, masks, wound dressing, etc. Goyanes et al. compared the use of filament extrusion and SLA to incorporate anti-acne drug, salicylic acid, into a mask of the intended patients' nose attained through 3D scanning<sup>[85]</sup>. Drug diffusion tests showed SLA to produce masks with slower degradation. higher drug loading (1.9% w/w compared to 0.4-1.2% w/w for FDM) and higher dimensional accuracy<sup>[85]</sup>. Later, the same research group continued to print 3D-scanned masks as drug-delivering wound dressings, adding antimicrobial metals including zinc, copper, and silver into polycaprolactone to better aid wound healing<sup>[86]</sup>.

A similar concept of using 3D scans of an individual to tailor transdermal DDDs was exhibited by Wei *et al.*, who demonstrated the ability to produce a face mask based on a pre-scanned file of the patient's face, a mask was created using a medical-grade silicone gel and a transparent biocompatible material, for a 20-h/day treatment of facial hypertrophic scars<sup>[87]</sup>. More information of studies about topical dosage form using 3D printing technology is presented in **Table 10**.

## 4.3. Rectal and vaginal dosage form

Similarly, to topical dosage form, rectal and vaginal DDDs are administered in direct contact with the rectal

 Table 10. 3D printing technologies for topical dosage form

mucosa or a vaginal epithelium, respectively, due to their permeability to a range of substances<sup>[12]</sup>. As with the 3D scanned masks detailed in section 4.2, Sun *et al.* utilized the ability of 3D printing to produce customizable geometries by using SLA technology, DLP, to print molds of the rectal and vaginal suppository in which silicon polymers loaded with analgesics were adhered<sup>[90]</sup>. Numerous studies have demonstrated the use of filament extrusion techniques to 3D print T-shaped intrauterine system (IUS) devices, which are regularly used to administer long-lasting contraceptives, with materials such as polycaprolactone and ethylene vinyl acetate. Details are shown in **Table 11**.

# 4.4. Parenteral dosage form

Parenteral dosage form is the injection of drugs through subcutaneous, intramuscular, intravenous, or intra-arterial routes. This dosage form allows the rapid action of the administered drug<sup>[96]</sup>.

To enhance the powerful delivery capabilities of needles, smaller devices were created known as microneedles, which are large enough to contain the drug but small enough to avoid pain and fear<sup>[97]</sup>. Taking advantage of 3D printing, Pere *et al.* used stereolithography technology to create pyramid and cone microneedles with a coat of insulin formulations<sup>[98]</sup>. Furthermore, Lim *et al.* developed microneedles with non-steroidal anti-inflammatory drugs (NSAIDs) that are useful to relieve finger pain, this device was fabricated with DLP<sup>[99]</sup>. **Table 12** shows information of studies of parenteral dosage form applying 3D printing.

3D printing technology	API	Formulation	Effect	References
Facial mask				
Filament extrusion	Salicylic acid	Flex EcoPLA (FPLA), polycaprolactone (PCL)	Personalized anti-acne facial masks	[85]
Stereolithography	Salicylic acid	Polyethylene glycol diacrylate (PEGDA), polyethylene glycol (PEG)	Personalized anti-acne facial masks	[85]
Polyjet	Silicone gel	OBJET MED610	Treatment of facial hypertrophic scars	[87]
Patch				
Filament extrusion	Copper sulphate, zinc oxide	Polycaprolactone (PCL)	Antimicrobial wound dressing	[86]
	Montelukast sodium	Kollidon 12PF, polyethylene glycol (PEG), and Polyethylene oxide (PEO)	Personalized patches	[88]
Syringe extrusion	Lidocaine hydrochloride, levofloxacin	Chitosan methacrylate hydrogels	Personalized wound dressing	[89]

1 0	6 6			
3D printing technology	API	Formulation	Effect	References
Suppository				
Syringe extrusion	Lidocaine	Kolliphor RH40, Gelucire 48/16, Geloil	Personalized delivery system	[91]
Digital light processing	Lidocaine, ibuprofen sodium, diclofenac sodium, ketoprofen	Suppositories/silastic1 Q-4720 & MED-4901 Mold/3DM resin	Sustained release	[90]
T-shape IUS				
Filament extrusion	Indomethacin	Polycaprolactone (PCL)	Controlled release	[92]
	Indomethacin	Ethylene vinyl acetate (EVA), polycaprolactone (PCL)	Controlled release	[93]
	Estrogen, progesterone	Polycaprolactone (PCL)	Extended release	[94]
Vaginal Pessaries				
Filament extrusion	Acyclovir	Thermoplastic polyurethanes (TPU)	Controlled release	[95]

<b>Table 11. 3D</b>	printing	technologies	for rectal and	l vaginal	dosage form

Table 12. 3D printing technologies for parenteral dosage form

3D printing	API	Formulation	Effect	References
technology				
Microneedle				
Material jetting	5-fluorouracil, curcumin, cisplatin	Soluplus, sodium fluorescein, methanol, ethanol, acetonitrile, acetic acid, phosphoric acid, hydrochloric	Anticancer agent coated metal	[100]
Stereolithography	Insulin	Dental SG resin, xylitol, mannitol, trehalose	Insulin skin delivery	[98]
2-photon polymerization	Gentamicin sulfate	Polyethylene glycol diacrylate (PEGDA), polyethylene glycol (PEG)	Antimicrobial loaded	[101]
Digital light processing	Diclofenac sodium	3DM-Cast	Splint for trigger finger	[99]
	Silver, zinc oxide coating	eShell 200, envisiontec GmbH	Antimicrobial loaded	[102]
	Riboflavin	Silk fibroin (SF)	Safe protein-based microneedle	[103]
Continuous liquid interface production	Rhodamine, fluorescein	Polycaprolactone (PCL), polyethylene glycol (PEG), polyacrylic acid (PAA), trimethylolpropane triacrylate (TMPTA)	Varying geometries	[104]

## 4.5. Implants

Implantable DDDs (IDDDs) offer numerous advantages over oral and parenteral administration methods, which often require frequent re-administration of one or multiple drug(s). First, the issue of patient compliance can lead to variations in dosing frequencies, and therefore fluctuations in plasma concentrations<sup>[10,96]</sup>. The administration of IDDDs can either require a single administration, which can release drugs in two main ways: diffusion or dissolution. Diffusion-based administration, also known as membrane systems, requires a secondary procedure to remove the implant on completion of delivery, and tends to use a semi-permeable membrane through which drug molecules diffuse slowly over time. Dissolution-based administration, also known as matrix systems, requires a single invasive procedure upon administration, and breaks up the polymer chain to release the drug molecules either by surface or bulk erosion<sup>[2]</sup>.

Several studies have been conducted on BJ of IDDDs, with Wu *et al.* in 2009 showing the successful printing of a concentric cylinder with alternating isoniazid and rifampicin layers to create a pulsatile release of the two drugs for longterm tuberculosis treatments<sup>[105]</sup>. Later that year, they printed an implant containing both a reservoir system containing rifampicin and a matrix system containing levofloxacin aimed at treating conditions with combined bone infections in the same device<sup>[106]</sup>, demonstrating the ability to print an implant with multiple drug release systems within a single IDDD. Wu et al. also investigated the use of BJ processing to build columnar-shaped tablets (CST), doughnut-shaped tablets (DST), and multilayer-shaped tablets (MLST) from PLLA, which contained a barrier laver without drug on the upper and lower surfaces of the implant<sup>[107]</sup>. Dynamic soaking of the implants displayed the MLST to provide improved consistency of drug release characteristics due to smaller fluctuations in surface area of the device. Years after, Wu et al. replaced the drugs with levofloxacin and tobramycin in the layers to demonstrate its applicability to treat osteomyelitis<sup>[108]</sup>.

Extrusion-based printing has been used to print IDDDs, including implant, stents, catheters and hernia meshes. Sandler et al. produced PLA antimicrobial medical devices, whereby HME technique allows 5% loading of the anti-microbial drug to be mixed into the material in the printing process, showing 89.56% reduction of biofilm formation<sup>[109]</sup>. Other studies, which used filament extrusion instead, loaded the API by either coating of the polymer pellets with the API<sup>[110,111]</sup> or mixing before the creation of the final filament<sup>[112,113]</sup>. Boetker et al. co-extruded polylactic acid and either 20 or 40% hydroxypropyl methylcellulose (HE) (Metolose®) into disks, and determined an increase in degradation rates associated with higher amount of ME. This study shows the potential to customize the degradation rates of materials by altering the flow properties of the polymer blend<sup>[55]</sup>.

Syringe extrusion has predominantly been utilized to validate the ability to extrude magnetic composite scaffolds and silica nanoparticulate composites and hydrogels, which would be unsuitable to print under heated conditions seen in filament extrusion and HME. Unlike heated extrusion techniques, these materials do not solidify on printing, with the gel-like structure providing enough support for the following layers to be printed. Instead, they require post-printing drying processes to evaporate any remaining solvents<sup>[27,114-119]</sup>.

SLM techniques have also been established as a method for 3D printing IDDDs, with prominence in producing parts with good structural integrity. For instance, Maher *et al.* used SLM to print titanium bone replacement implants enriched with anticancer drugs doxorubicin (DOX) with particles and tubular arrays on the surface of the implant in order to promote cell attachment<sup>[120]</sup>. A similar concept was detailed by Parry *et al.* who used SLA to produce poly (propylene fumarate) scaffolds with integrated pores to encourage cell attachment, whilst the printing of carbonate hydroxyapatite mineral coatings and polymer microspheres promoted DR of the

drug rhBMP-2<sup>[121]</sup>. Implant studies that use 3D printing technology are presented in **Table 13**.

Seemingly, most drugs are tissue growth factors and antibiotics. There are limited works on 3D printing of immunoregulatory drugs, which are needed in the recent development in tissue engineering<sup>[124]</sup>.

# 5. Future directions and challenges

3D printing technology will transform disease treatment, enabling more advanced high-resolution DDDs, with suitable substrates and more controlled release profiles. This technology offers unique advantages in terms of product consistency, customization of drug administration, and combinations of different APIs, making the treatment more accurate for the benefit of the patient<sup>[125]</sup>. To this end, challenges to bed addressed in 3D printing technology as well as the efforts to adapt to or benefit from new technologies are inevitable.

In pharmaceutical applications, many variables regarding processes, printers, compounds, formulations, type of dosages, post-treatments, and final distribution contribute to the drug delivery success, and compounds with the highest quality, accuracy and efficacy as well as safety to patients are paramount. Management and care of all compounds involved represent a critical factor not only when formulations are created, but also when type of dosage is selected and the drug is printed. In addition, even though the printed product complies with all desired characteristics, it may also need a post-treatment, a stage that should be carefully monitored to avoid any alteration to the effect of the drug<sup>[126]</sup>. Therefore, quality control and safety are fundamental throughout the fabrication process. Assuring quality and safety already represents a challenge and even more so when it comes to 3D printing in large-scale manufacturing<sup>[127,128]</sup>.

While it is clear that very strict parameters should be met to avoid any problem for patients, clear guidance and regulations regarding the materials, processes, as well as printers almost do not exist due to the novelty of the technology. Even for the post-manufacture quality assessment of 3D-printed devices, standard guidance has not yet been published. Current regulations of traditional manufacturing are not applicable to the flexibility that 3D printing techniques would need; 3D printing allows the manufacture of personalized and multi-drug medicines, and there is still no standard guidance in this regard<sup>[129-131]</sup>. In 2017, the U.S. FDA published a guidance on 3D printed medical devices and prosthetics, which does not apply to DDDs. Spritam, by Aprecia Pharmaceuticals, is the only product fabricated by 3D printing that has been approved for commercialization<sup>[127,130]</sup>.

Regulatory guidance is needed for materials, processes and products, and for this, there are different elements to consider, as detailed in **Table 14**.

Table	13	3D	nrinting	technol	logies	for	imn	lants
Table	13.	5D	printing	teemio	logics	101	mp	lants

3D printing technology	API	Formulation	Effect	References
Implant				
Binder jetting	Isoniazid, rifampicin	Poly D, L - lactic acid (PDLLA)	Multiactive, sustained release	[105]
	Levofloxacin,	Poly L - lactic acid (PLLA)	Multiactive, controlled	[106]
	Isoniazid	Poly L-lactic acid (PLLA), acetone, ethanol, water	Sustained release	[107]
	Levofloxacin, tobramycin	Poly D, L - lactic acid (PDLLA)	Multiactive, sustained release	[108]
	Levofloxacin	Poly L-lactic acid (PLLA), ethanol, acetone	Pulsed release profile	[122]
Filament extrusion	Nitrofurantoin	Polylactic acid (PLA), hydroxypropyl methylcellulose (HPMC)	Flexible dosing and precision medication	[55]
	Nitrofurantoin	Polylactic acid (PLA)	Biofilm inhibition	[109]
	Gentamicin	Polvlactic acid (PLA)	Hernia meshes	[110]
	Gentamicin, methotrexate	Polylactic acid (PLA)	Drugs eluting product	[111]
	Niclosamide, inositol phosphate (IP6)	Polycaprolactone (PCL), graphene nanoplatelets (GR)	Vascular stent	[112]
	Ciprofloxacin hydrochloride	Polylactic acid (PLA),	Bone defect diseases	[113]
Syringe extrusion	Dexamethasone	Strontium containing mesoporous bioactive glass (Sr-MBG)	Controlled ion release	[27]
	Isoniazid	Mesoporous silica nanoparticles (MSN)	Multi-drug osteoarticular	[114]
	rifampicin	beta-tricalcium phosphate (B-TCP)	tuberculosis therapy	
	Doxorubicin	Polycaprolactone (PCL), mesoporous bioactive glass (MBG), $Fe_3O_4$	Local anticancer and enhanced osteogenic activity, and magnetic	[115]
	Dimethyloxallyl	Mesoporous bioactive glass (MBG), poly	Composite scaffold	[116]
	Vascular endothelial growth factor	Calcium phosphate cement (CPC), alginate, alginate-gellan gum	Bone defect healing	[117]
	Ciprofloxacin	Polylactic acid (PLA), nano-hydroxyapatite (n-HA), Hydroxypropyl cellulose (HPC-M), Microcrys- talline cellulose Pharmacel 101 (MCC PH 101).	Controlled antibacterial release	[118]
	Amikacin sulfate	Polylactic acid (PLA), nano-hydroxyapatite (n-HA)	Local drug delivery	[119]
Stereolithography	Recombinant human bone morphogenetic protein 2 (rhBMP-2)	Polypropylene fumarate (PPF), carbonate, hydroxyapatite, polylactic-co-glycolic acid (PLGA) microspheres, collagen	Delayed release	[121]
	Lidocaine	Gelucire	Sustained and localized delivery	[123]
Selective laser melting	Doxorubicin, apoptosis- inducing ligand (Apo2L/TRAIL)	Ti6Al4V, ethylene glycol	Bone cancer therapy	[120]

Table 14. Regulatory considerations for materials, processes and products when applying 3D printing technologies

	Features
Materials	The ink should go through a strict quality control evaluation to guarantee its homogeneity and traceability as
	with any raw material in manufacturing processes <sup>[129]</sup> .
	In case of powder, a standardized procedure is required to ensure a uniform particle size and polydispersity index <sup>[129]</sup> .
	As in any manufacturing process, quality control is carried out to evaluate impurities, among other elements. It
	is necessary to have a procedure to evaluate unreacted photoinitiators, free radicals or even degradation <sup>[132]</sup> .
	Furthermore, it is necessary to evaluate the level of toxicity and to verify if it is acceptable or not <sup>[132]</sup> .
Processes	Proper documentation of all printing parameters and procedures will reduce the risk of inaccuracies in the
	manufacturing process <sup>(129)</sup> .
	Laser beam energy density, scanning speed, deposition velocity, and humidity are parameters that need to be
	measured to guarantee the consistency of the manufacturing process. As it is known, these parameters have a
	great impact on the physical characteristics of the final product <sup>[129]</sup> .
Products	Specialized quality control tests of the final product should be performed to obtain an accurate result of the
	design. Some examples for quality control tests are surface laser scanning, micro-CT, and various printer
	monitoring strategies <sup>[129]</sup> .
	A cleaning process of the finished product should be carried out regardless the 3D printing process that was
	used to remove, for example, support material, residual monomers, etc. <sup>[129]</sup> .

Furthermore, 3D printing techniques require a unique production environment and/or the use of some specific resources, such as a highly specialized laser<sup>[127]</sup>. Current challenges of 3D printing technologies for drug delivery applications can lead to a long trial and error process before transforming it from a laboratory to a revolutionary manufacturing process<sup>[127,133]</sup>. Large-scale manufacturing represents a big challenge as explained in previous sections; different techniques and processes have emerged and the evolution to mass production and further commercialization will also require an entire ecosystem where academy, industry, and government participate in to facilitate all the essential conditions<sup>[128,131,133]</sup>.

Despite the challenges presented to date, 3D printed drug delivery system has a promising future that will change the course of current healthcare. Synergic efforts in different fields are required. They include a sustainability focus to produce eco-friendly and physiologically safe excipients and filaments, research to reduce waste of 3D printing processes, and studies for the improvement of the dosage accuracy until the incursion to digitalization<sup>[131]</sup>.

Machine learning (ML), which is an application of artificial intelligence (AI) to enable pattern recognition from large and complex datasets, is gaining presence in the 3D printing field<sup>[134-141]</sup>. This tool contributes to product quality and productivity by in situ monitoring, optimizing design and process parameters, and speeding up the microstructure evolution prediction<sup>[142]</sup>.

In this context, ML has been applied in different 3D printing techniques to estimate performance and quality indicators. Recently in 2022, an integration of ML and 3D printing through a graphical user interface for printing parameter optimization was published. While the majority of 3D printing research considers orthogonal designs, authors employed nine different computer-aided

design (CAD) images to allow ML algorithms to identify the difference among designs, calculating their complexity<sup>[143]</sup>. Also in 2022, a study working on ML to predict 3D printing performance parameters of different formulations, such as processing temperatures (extrusion and printing temperatures), feedstock characteristics, and printability, was published. Ong *et al.* mined data on hot-melt extrusion (HME) and fused deposition modeling (FDM), and an extensive range of different 3DP formulations to optimize product design without having it physically. Through this research, it was discovered that the simulated drugs had accurate release profiles; this represents a strong advantage in terms of time saving because each iteration would take days<sup>[127]</sup>.

ML has also been used in decision trees for HME and artificial neural networks (ANNs) to enhance the quality of drug products throughout the pharmaceutical workflow. In addition, ANNs have correctly predicted the dissolution profiles of ibuprofen-loaded Printlets<sup>TM</sup> fabricated using DLP<sup>[144,145]</sup>. Moreover, ML has contributed to predicting the required force for penetration of 3D printed microneedle arrays (MLA) as well as the capabilities for their insertion into the skin<sup>[146]</sup>.

Considering the advances worldwide, a strong emphasis on collaborative work in the digital era is expected to happen. In the future, automatization and robotics will be a reality, giving rise to more innovative and efficient 3D printed drugs. In a more distant future, a big transformation from 3D to 4D printed drugs is foreseen, and this next generation of drugs will come true thanks to shape memory materials<sup>[10,147]</sup>. This new technology enables adaptability and dynamic response to the structures according to the desired effect or shape<sup>[147]</sup>. 4D printing will bring unique characteristics in biorobotics for medical purposes, such as drug delivery, tissue engineering, and medical devices<sup>[10]</sup>. Future trends envision a revolution in pharmacy in the next years, and science and technology advances will enable 3D printing of innovative drug delivery systems.

# 6. Conclusions

3D printing technology is evolving quickly providing a new way to develop attractive solutions for medical applications<sup>[148]</sup>. Medication administration is being revolutionized, and researchers, doctors and patients become increasingly interested in having alternatives that are more efficient and friendly. At present, standardized doses of medicines predominate but each patient requires unique treatments with tailored dosages. The DDDs fabricated by 3D printing enable the production of personalized drugs for the patients with specific needs. Moreover, this technology has unique capabilities to work with complex geometries, high precision, and multiple APIs.

In this review, the potential uses of 3D printing technology are detailed, as well as the different techniques that have been developed along with their challenges and application in drug delivery is identified. Materials used were also determined through three principal categories: polymers, glasses and hydrogels. In addition, five dosage forms were identified: (i) Oral drug dosage, (ii) topical dosage form, (iii) rectal and vaginal, (iv) parenteral dosage form, and (v) implants. The API, formulation and effect are discussed for all cases.

3D printing will revolutionize the concept of traditional manufacturing by innovatively adding value to health applications, such as drug delivery. A radical change in medical treatments will happen in the coming years.

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# **Conflict of interest**

The authors declare that they have no conflicts of interest.

# **Author contributions**

Conceptualization, Methodology, Supervision, writing – review & editing: Marisela Rodriguez-Salvador

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